

Brokerage Commission and Fee Schedule		Effective February 14, 2025	
<u>STOCKS/ETFs</u>		<u>OTHER FEES AND COMPENSATION</u>	
<p>Institutional Accounts – Negotiated Retail Accounts – Minimum of \$25 or \$.01 per share unless negotiated.</p> <p>These commissions and fees apply to securities including, but not limited to, domestic (U.S.) equities traded on national exchanges, short sales, exchange-traded funds (ETFs), and U.S.-traded foreign securities (ADRs, or American Depository Receipts, and ORDs, or Ordinaries). For details on foreign stock trading, see the Foreign Stocks section.</p>	Annual Custody and Recordkeeping fee. The fee is assessed on each account which holds a position and demonstrates no trading activity during the prior year. Charged in January based on the previous calendar year.	\$10	
	DRS Registration/Ship DRS Statement	\$25	
	Trans/Ship Certificate – Non DRS Eligible	\$500	
	Legal Transfer	\$150	
	Legal Return	\$75	
	Paper Document Fee (Quarterly)	\$2	
<u>Margin Fees:</u>		<u>OTHER FEES AND COMPENSATION</u>	
<p>Margin Interest - NFBLR + 100 basis points Understanding how margin charges are calculated is essential for any investor considering or using margin. For all margin borrowings – regardless of what you use it for – we charge interest at an annual rate based upon the National Financial Broker Lending Rate (“NFBLR”).</p>	Retirement Account Annual Maintenance	\$35	
	Retirement Account Closure	\$125	
	Trade and Margin Extension	\$10	
	Bounced Checks	\$25	
	Alternative Investment Initial Purchase	\$50	
	Alternative Investment Additions/Redemptions	\$50	
	Alternative Investment Transfer/Re-register	\$50	
	Alternative Investment Custody (Annual)	\$125	
	Full Transfer of Account Delivery (ACAT Exit Fee)	\$30	